

Covering problems in high dimensions: Borsuk's question and Lebesgue's universal cover

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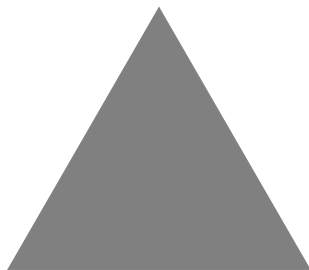
Borsuk's number

Let $K \subset \mathbb{R}^n$, $\text{diam}(K) = \sup_{x,y \in K} \|x - y\|$.

Definition

For $K \subset \mathbb{R}^n$ with $\text{diam}(K) = d$, let $b(K)$ be the smallest integer such that K can be covered by $b(K)$ sets of a diameter $< d$.

Define $\mathbf{b}(n) = \sup\{b(K) : K \subset \mathbb{R}^n, \text{diam}(K) = 1\}$.



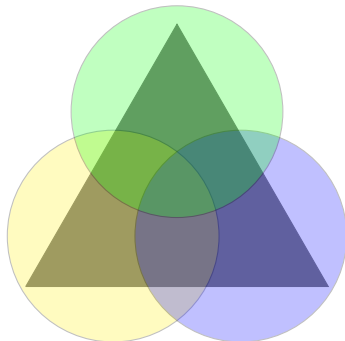
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Approach #1: Grünbaum (use balls).



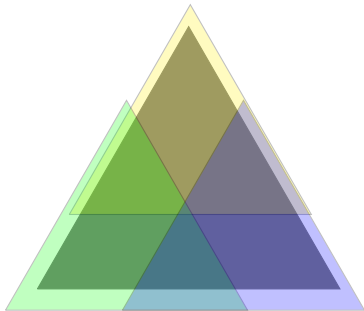
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Approach #2: Schramm (use smaller copies of K).



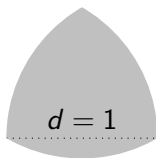
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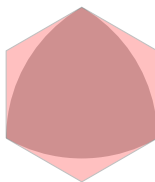
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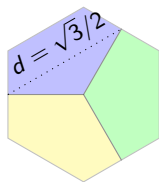
Approach #3: Use universal covers.



K



U



U

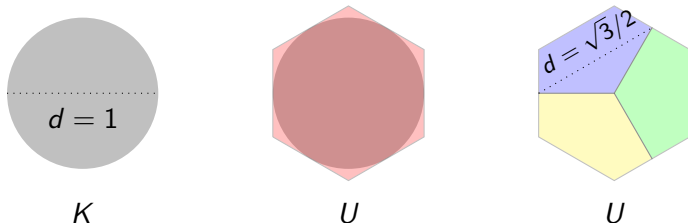
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Question (Borsuk 1933)

Is it true that for all n , $b(n) = n + 1$?

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Perkal 1947: $b(3) = 4$.

$$b(n) \neq n + 1$$

Kahn, Kalai 1993: $b(n) \geq 1.2^{\sqrt{n}}$ for large n .

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For which values of n do we have $b(n) > n + 1$?

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Kahn, Kalai 1993: $n \geq 2015$, $n = 1325$,

Raigorodskii 1997: $n = 561$,

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Hinrichs 2002: $n \geq 323$,

Pikhurko 2002: $n \geq 321$,

Hinrichs, Richter 2003: $n \geq 298$,

Bondarenko 2013: $n \geq 65$,

Jenrich 2013: $n \geq 64$.

Upper bounds on $b(n)$

Rogers 1965: $b(n) \leq (\sqrt{2} + o(1))^n$.

K with $\text{diam}(K) = 1$ is a subset of a ball of diameter $\sqrt{2}$. Covered big ball with open balls of diameter 1.

Lassak 1982: $b(n) \leq 2^{n-1} + 1$.

K is in intersection of two balls of diameters $\sqrt{\frac{2n}{n+1}}$ and 2, used octants + cap.

Schramm 1988: $b(n) \leq \left(\sqrt{\frac{3}{2}} + o(1)\right)^n$.

K is a body of constant width 1, covered K by smaller translates of K .

Bourgain Lindenstrauss 1989: $b(n) \leq \left(\sqrt{\frac{3}{2}} + o(1)\right)^n$.

Covered K by balls of diameter less than 1.

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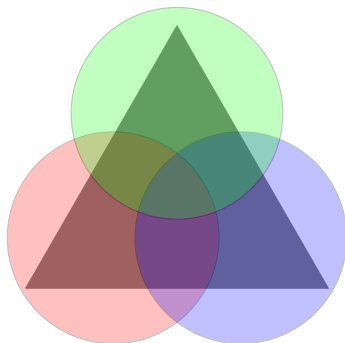
Question (Kalai 2015)

Is there a $C > 1$, such that $b(n) > C^n$ for all n .

Bourgain Lindenstrauss approach

Definition (Grünbaum 1950s)

Let $\text{diam}(K) = d$, define $g(K)$ be the smallest number of balls of diameter $< d$ needed to cover K . Let $\mathbf{g}(\mathbf{n}) = \sup\{g(K) : K \subset \mathbb{R}^n, \text{diam}(K) = 1\}$.



Definition (Grünbaum 1950s)

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Note that $b(n) \leq g(n)$.

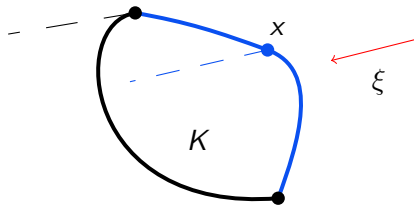
Danzer 1965: $g(n) \geq (1.003)^n$

Rogers 1965: $g(n) \leq (\sqrt{2} + o(1))^n$

Bourgain Lindenstrauss 1989: $(1.0645)^n \leq g(n) \leq \left(\sqrt{\frac{3}{2}} + o(1)\right)^n$.

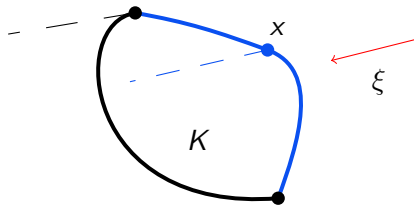
Schramm's approach – illumination and covering

Let K be a convex body in $\mathbb{E}^n$. A point $x \in \partial K$ is **illuminated** by a direction $\xi \in \mathbb{S}^{n-1}$ if the ray $\{x + \xi t : t \geq 0\}$ intersects $\text{int}(K)$.



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The illumination number $I(K)$ is the minimal number of directions such that every $x \in \partial K$ is illuminated by one of these directions.

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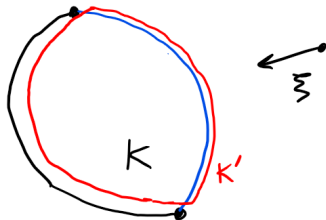
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Boltyanski (1960): $I(K)$ is equal to the minimum number of smaller positive homothetic translates of K needed to cover K .

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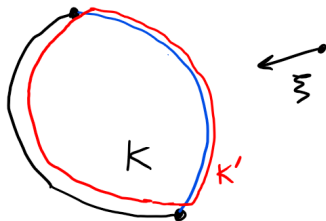
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Conjecture (Levi-Hadwiger-Gohberg-Markus's)

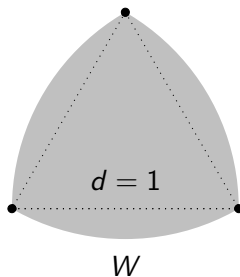
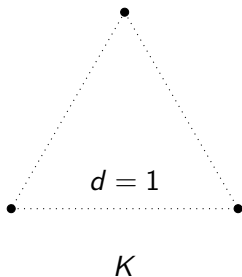
$I(K) \leq 2^n$ with equality iff K is an affine copy of a cube.

Schramm's approach – bodies of constant width

Eggleston 1958: Any set of diameter d is contained in a convex body of constant width d .

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Therefore, it suffices to consider only bodies of constant width when computing the Borsuk's number $b(n)$.

Schramm's upper bound on Borsuk's number

Definition

$\mathbf{h}(\mathbf{n}) := \sup\{I(K) : K \text{ is a convex body of constant width in } \mathbb{E}^n\}.$

We have $b(n) \leq h(n)$.

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Theorem (A., Bondarenko, Prymak 2023)

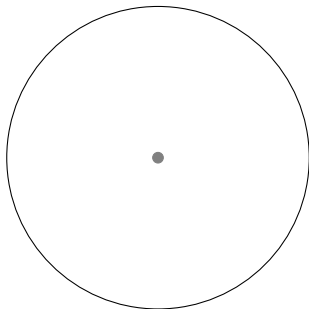
For some constants c_1, c_2 ,

$$h(n) \geq \frac{c_1}{\sqrt{n}} \left(\frac{1}{\cos\left(\frac{\pi}{14}\right)} \right)^n \approx (1.0257\dots)^n,$$

$$g(n) \geq \frac{c_2}{\sqrt{n}} \left(\frac{2}{\sqrt{3}} \right)^n \approx (1.1547\dots)^n.$$

Definition (Cap)

$C(x, \varphi) = \{y \in \mathbb{S}^{n-1} : \theta(x, y) \leq \varphi\}$ for $x \in \mathbb{S}^{n-1}$, $\varphi \in [0, \pi/2]$.



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Folklore:

Let μ be the normalized surface area on $\mathbb{S}^{n-1}$. If $\varphi \in [0, \pi/2)$ is fixed, then for large n

$$\mu(C(x, \varphi)) = \frac{\Theta(1)}{\sqrt{n}} (\sin(\varphi))^n.$$

Notation: $\mu(\varphi) = \mu(C(x, \varphi))$.

Thinly spread codes – weak version of Lemma

Lemma 1

Suppose $0 < \varphi < \frac{\pi}{2}$. Then for any sufficiently large n there exists $X = \{x_1, \dots, x_N\} \subset \mathbb{S}^{n-1}$ with $N \geq \frac{1}{3\mu(\varphi)} \geq \frac{c\sqrt{n}}{(\sin \varphi)^n}$ such that

- (a) $\varphi \leq \theta(x_i, x_j) \leq \pi - \varphi$ for all $i \neq j$;
- (b) $|C(x, \varphi) \cap X| \leq 12n \log n$ for all $x \in \mathbb{S}^{n-1}$.

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Proof idea (b):

1. For $M = \frac{4n \log n}{\mu(\varphi)}$ let $Y = \{x_1, \dots, x_M\}$ be i.i.d. with $x_i \sim \text{Unif}(\mathbb{S}^{n-1})$.

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3. For every $x \in \mathbb{S}^{n-1}$ exists $y \in \mathcal{N}$ such that $C(x, \varphi) \subseteq C(y, \varphi + \varepsilon)$.

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3. For every $x \in \mathbb{S}^{n-1}$ exists $y \in \mathcal{N}$ such that $C(x, \varphi) \subseteq C(y, \varphi + \varepsilon)$.
4. For all $y \in \mathbb{S}^{n-1}$, $|Y \cap C(y, \varphi + \varepsilon)| \sim B(M, \mu(\varphi + \varepsilon))$.

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3. For every $x \in \mathbb{S}^{n-1}$ exists $y \in \mathcal{N}$ such that $C(x, \varphi) \subseteq C(y, \varphi + \varepsilon)$.
4. For all $y \in \mathbb{S}^{n-1}$, $|Y \cap C(y, \varphi + \varepsilon)| \sim B(M, \mu(\varphi + \varepsilon))$.
5. Chernoff: $\mathbb{P}(|Y \cap C(y, \varphi + \varepsilon)| \geq 3 \cdot 4n \log n) \leq e^{-4n \log n}$.

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Proof idea (b):

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5. Chernoff: $\mathbb{P}(|Y \cap C(y, \varphi + \varepsilon)| \geq 3 \cdot 4n \log n) \leq e^{-4n \log n}$.
6. Union bound: w.h.p, for all $y \in \mathcal{N}$, $|C(y, \varphi + \varepsilon) \cap X| \leq 12n \log n$.

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Proof idea (a):

1. For $M = 4 \frac{n \log n}{\mu(\varphi)}$ let $Y = \{x_1, \dots, x_M\}$ be i.i.d. with $x_i \sim \text{Unif}(\mathbb{S}^{n-1})$.

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7. Finally, since $X \subseteq Y$ still satisfies (b).

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1. $M = 4 \frac{n \log n}{\mu(\varphi)}$, $\mathbb{E}(e(G)) \approx (8n \log n)M$, $d(G) \approx 8n \log n$.
2. $t_3(G)$ – number of triangles in G , then $\mathbb{E}(t_3(G)) = o(M/n)$.
3. Remove all triangles (deleting edges) from G to derive $H \subseteq G$ with $V(H) = M$, $d(H) \approx 8n \log n$, and $t_3(H) = 0$.
4. Shearer 1983: In a triangle-free H , $\alpha(H) \geq \frac{|V(H)| \log d(H)}{d(H)}$.
There is independent set Z in H with $|Z| \geq \frac{1}{2} \frac{\log n}{\mu(\varphi)}$.
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3. Any ball of diameter $\sqrt{3}$ intersects $\mathbb{S}^{n-1}$ by a cap of radius $\leq \pi/3$.
4. So by Lemma 3 (b) we need at least $\frac{c\sqrt{n} \log n}{(\sin \varphi)^n} / (Cn \log n) = \frac{c_2}{\sqrt{n}} \left(\frac{2}{\sqrt{3}} \right)^n$ such caps to cover X .

Theorem (A., Bondarenko, Prymak 2023)

For some constants c_1, c_2 ,

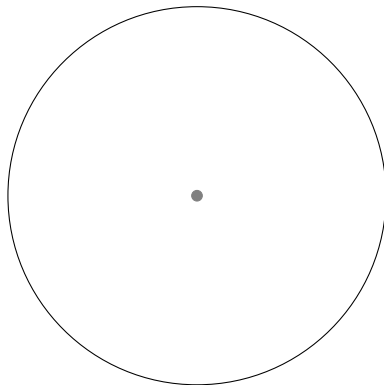
$$h(n) \geq \frac{c_1}{\sqrt{n}} \left(\frac{1}{\cos\left(\frac{\pi}{14}\right)} \right)^n \approx (1.0257\dots)^n,$$

$$g(n) \geq \frac{c_2}{\sqrt{n}} \left(\frac{2}{\sqrt{3}} \right)^n \approx (1.1547\dots)^n.$$

Illumination – more geometric definitions

Definition (Cone)

$Q(x, \varphi) = \{x\} \cup \{y \in \mathbb{S}^{n-1} : \theta(x, y) = \pi - 2\varphi\}$ for $x \in \mathbb{S}^{n-1}$, $\varphi \in [0, \pi/2]$.



$$\varphi \leq \frac{\pi}{6} \Rightarrow \text{diam}(Q(x, \varphi)) = 2 \cos \varphi.$$

Illumination Lemma

Fix small $\alpha \leq \frac{\pi}{6}$, and let $d = d(\alpha) = \text{diam}(Q(x, \alpha)) = 2 \cos \alpha$.

Lemma 2

Let $\text{diam}(K) = d$ and $Q(x, \alpha) \subset K$. If $\xi \in \mathbb{S}^{n-1}$ illuminates x in K , then $x \in C(-\xi, \frac{\pi}{2} - \alpha)$.

Separation Lemma

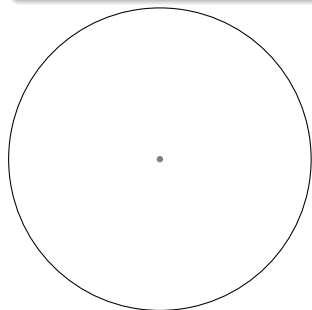
For $X = \{x_1, \dots, x_N\} \subset \mathbb{S}^{n-1}$, let $W(X) = \bigcup_{x \in X} Q(x, \alpha)$.

Lemma 3

Let $X = \{x_1, \dots, x_N\} \subset \mathbb{S}^{n-1}$ be such that for all distinct $x_i, x_j \in X$

$$4\alpha \leq \theta(x_i, x_j) \leq \pi - 6\alpha.$$

Then $\text{diam}(W(X)) = 2 \cos \alpha = \text{diam}(Q(x, \alpha))$.



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Glazyrin (2023) noted that the base of the exponent $\frac{1}{\cos(\pi/14)} \approx 1.026$ can be improved to $\frac{1}{4} \sqrt{\frac{1}{6}(111 - \sqrt{33})} \approx 1.047$ by modification of the construction: choosing the bases of the cones from a concentric sphere of smaller radius.

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Is it true that $I(K) = g(K)$ for any K of constant width? If not, are $I(K)$ and $g(K)$ equivalent up to a polynomial in n ?

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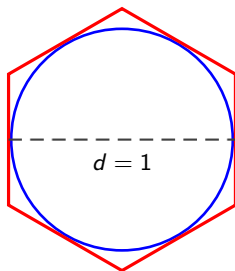
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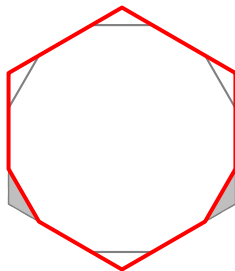
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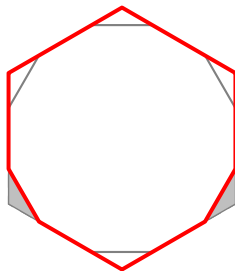
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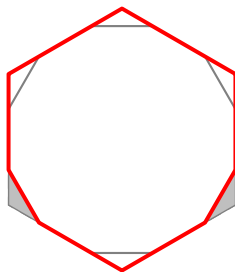
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Lebesgue's universal covering problem

1992: $A \leq 0.844137708398$ by Hansen.

The problem of determining A is still not solved. Records:

2005: $0.832 \leq A$ by Brass and Sharifi.

2015: $A \leq 0.8441153$ by Baez, Bagdasaryan and Gibbs.

2018: $A \leq 0.8440935944$ by Gibbs (pre-print).

Asymptotic Lebesgue's universal covering problem

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Let U be a universal cover in $\mathbb{E}^n$. Then

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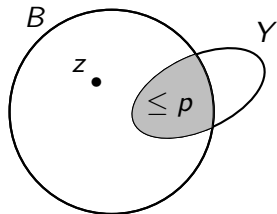
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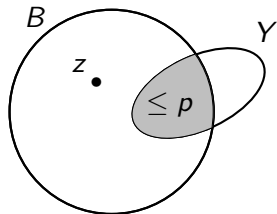
Path of the proof: assuming a minimal volume universal cover U is too small, we construct a set of diameter < 1 which cannot be covered by any congruent copy of U .

Proof: avoiding a single small set

$$\nu(B) = 1, \nu(Y \cap B) \leq p, 0 < p < 1.$$



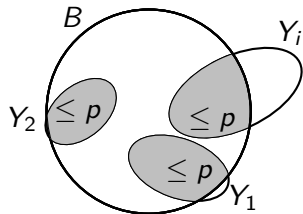
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A ν -random single point from B avoids Y with prob. $\geq 1 - p$.

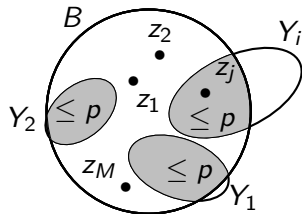
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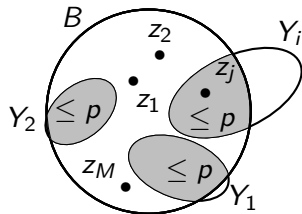
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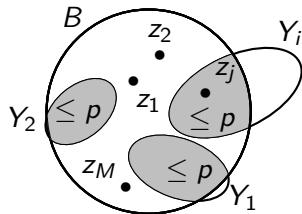
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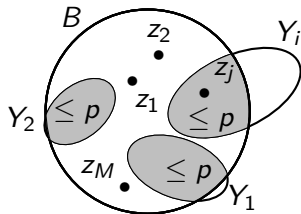
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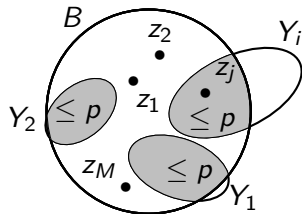
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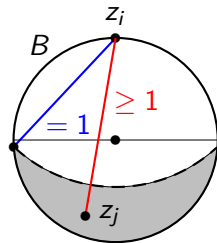
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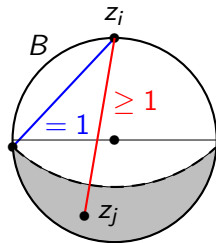
In other words, if $|\mathcal{Y}|(2ep)^{\frac{M}{2}} < \frac{1}{2}$, then with prob. $\geq \frac{1}{2}$
any Y_i covers less than half of Z .

Proof: controlling diameter



B is close to J_n , ν is uniform on B .

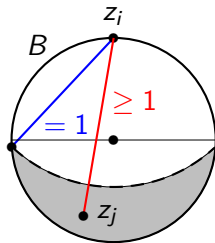
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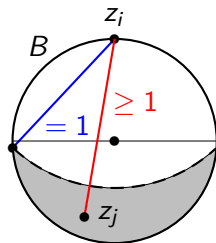


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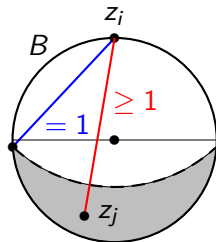


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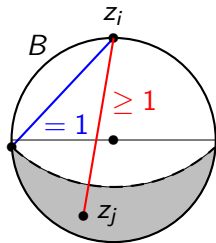
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Thus X cannot be covered by any $Y_i \in \mathcal{Y}$ and $\text{diam}(X) < 1$.

Lower bound on covering in terms of measurable graphs

A graph Γ is a pair (V, E) where $E \subseteq V \times V$ is the set of edges of Γ .

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Given a set Ω , a family $\mathcal{Y}$ of subsets of Ω , and $X \subset \Omega$, define

$$N(X, \mathcal{Y}) := \min \left\{ |\mathcal{U}| : X \subset \bigcup_{Y \in \mathcal{U}} Y, \mathcal{U} \subset \mathcal{Y} \right\},$$

i.e. $N(X, \mathcal{Y})$ is the minimal number of sets from $\mathcal{Y}$ needed to cover X .

Lower bound on covering in terms of measurable graphs

Theorem

Let $\Gamma = (V, E)$ be a graph and assume that V is equipped with a probability measure ν satisfying $(\nu \times \nu)(\Delta(V)) = 0$.

Let $\mathcal{Y}$ be a finite family of measurable subsets of V .

Assume $0 < p < \frac{1}{2}$, $M, k \in \mathbb{Z}_{>0}$ and $1 \leq k \leq \frac{1}{2p}$. If

$\nu(Y) \leq p$ for all $Y \in \mathcal{Y}$, (each covering set is “small”)

$|\mathcal{Y}| \leq \frac{1}{2}(2ekp)^{-\frac{M}{2k}}$, (number of covering sets is not “huge”) and

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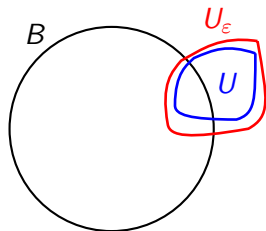
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then there exists a coclique $X \subset V$ such that

$$|X| \geq M/2 \quad \text{and} \quad N(X, \mathcal{Y}) > k.$$

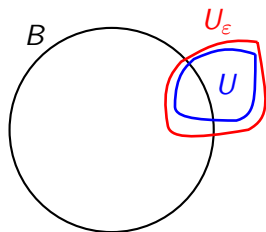
(Large hard-to-cover set without undesirable pairs.)

Proof: ε -thickening and discretization of covering family



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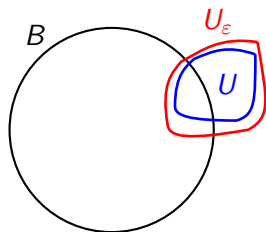
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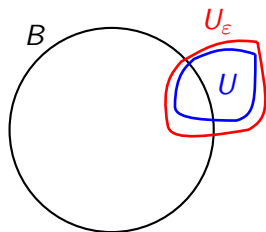
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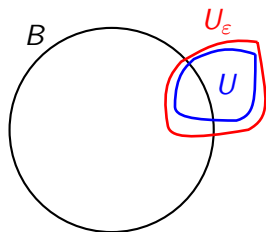
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Conclusion of the proof

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Recall that $b(n) \leq \left(\sqrt{\frac{3}{2}} + o(1)\right)^n$ Schramm; Bourgain and Lindenstrauss.

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Proof: Urysohn's inequality $w(U) \geq \left(\frac{\text{Vol}(U)}{\text{Vol}(B_n)} \right)^{\frac{1}{n}}$.

Asymptotic optimality of J_n for other measures

Mean width $w(K)$ of a convex body K in $\mathbb{E}^n$ is half the average length of a projection of K onto a line, e.g. $w(B_n) = 1$.

1990 Makeev; 1998 Bezdek and Connelly:

J_n minimizes **mean width** of **translative** universal covers.

For the general congruent (non-translative) universal covers J_n is not a minimizer of mean width or volume: $J_n \cap (r_n u + B_n)$, $\|u\| = 1$, is smaller.

Corollary

$w(U) \geq (1 - o(1))w(J_n)$ for any convex universal cover U .

Proof: Urysohn's inequality $w(U) \geq \left(\frac{\text{Vol}(U)}{\text{Vol}(B_n)} \right)^{\frac{1}{n}}$.

Remark

Using Alexandrov inequality, the corollary can be extended to all quermassintegrals/intrinsic volumes, e.g. to the surface area.

Application to quermassintegrals

By Steiner's formula, for any convex body K in $\mathbb{E}^n$

$$\text{Vol}(K + tB_n) = \sum_{j=0}^n \binom{n}{j} W_j(K) t^j, \quad t \geq 0,$$

where $W_j(K)$ is the j -th quermassintegral. In particular, $W_0(K) = \text{Vol}(K)$, $W_1(K) = \frac{1}{n} \partial(K)$, where $\partial(K)$ is the surface area of K , $W_{n-1}(K) = \frac{\text{Vol}(B_n)}{2} w(K)$, and $W_n(K) = \text{Vol}(B_n)$.

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Alexandrov's inequality gives log-concavity of the sequence $\{W_j(K)\}_{j=0}^n$, yielding $W_k(K) \geq \text{Vol}(K)^{\frac{n-k}{n}} \text{Vol}(B_n)^{\frac{k}{n}}$.

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Corollary

Let U be a convex universal cover in $\mathbb{E}^n$. Then

$$W_k(U) \geq \exp\left(-\left(1 - \frac{k}{n}\right) \sqrt{\left(\frac{5}{4} + o(1)\right) n \log n}\right) W_k(J_n).$$

More details at:

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Question

Do there exist universal covers U with $\text{Vol}(U) \leq 0.999\text{Vol}(J_n)$?

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Thank you!